

Disclosure Statement

Name of Financial Adviser: Greg Boulton

FSP Number: 658351

Address: P O Box 126, Albany Village, Auckland, New Zealand

Telephone Number: +64 9 414 7185

Email Address: info@austinsure.co.nz

This disclosure statement was prepared on: 15 March 2021

I am giving advice on behalf of Austinsure Limited

What sort of adviser am I?

I only provide financial advice on the following general insurance products:

- Personal assets, Business assets, Business Interruption and Liabilities, Aviation Hull & Liability, Travel Insurance & Personal Accident & Illness, Marine

I am not, however authorised to give advice in relation to securities, land investment products, futures contracts, Life insurance and/or investment-linked insurance contracts.

Fees, expenses, or other amounts payable

Austinsure Ltd will not charge a fee for the advice given to you or for implementing that advice.

Austinsure Ltd may charge an administration fee this amount will be shown on our quote.

Austinsure Ltd will receive a commission of 3% of the amount funded for any premium funding arrangement you have entered into with Financial Synergy Ltd.

Conflicts of interest and commissions or other incentives

We receive commission from the insurance providers of the policies that we arrange with them, on your behalf. The commission is a percentage of the premium they charge and which is payable by you, the amount of which will depend on the insurance company and policy you choose. The amount of this commission will be disclosed to you when I provide the advice to you.

I ensure I prioritise your interests above my own, by following an advice process that ensures my recommendations are made on the basis of your goals and circumstances. I complete training to understand and manage conflicts of interest. We maintain a register of conflicts of interests and any gifts and other incentives that we may receive. We review our compliance programme annually.

Duties

I am bound by the following duties under the Financial Markets Conduct Act 2013 to:

Meet the standards of competence, knowledge, and skill set out in the Code of Professional Conduct for Financial Advice Services (Code of Conduct), which form part of the wider regulatory regime for financial advice and ensure I have the expertise necessary to provide you with advice; and

give priority to your interests by taking all reasonable steps to ensure that the advice given to you is not materially influenced by my own interests or the interests of any other person connected with the giving of advice; and

Exercise care, diligence, and skill that a prudent person engaged in the occupation of giving related financial advice would in the same circumstances; and

Meet the standards of ethical behaviour, conduct, and client care set out in the Code of Conduct, to treat you as I should and to provide you with suitable advice.

Complaints and Dispute Resolution

Please refer to our website at www.austinsure.co.nz for information in respect of making a complaint and our internal complaints process. Contact details of our external dispute resolution scheme, that offers a free service to help investigate and resolve any complaint if we haven't been able to resolve this to your satisfaction, are also available.

Declaration

I, Greg Boulton, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Services Legislation Amendment Act 2021 and Financial Markets Conduct Act Disclosure Regulations 2019.

Signed



Greg Boulton

Account Manager